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| 1 2 | | ARTICLE 6 – APPOINTMENT & PROMOTION |
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| 19 | 6.13 | Grievability |
| 20 | | |
| 21 | 6.1 Gener | al Principles. |
| 22 23 24 25 26 27 28 | (a) | The University and UFF recognize that Florida Polytechnic University is a new institution, and as such, both parties accept that flexibility is required as we develop an excellent faculty through the process of appointment and promotion. The University and UFF share the desire to improve the quality of the University in all areas, but particularly in the execution of teaching, research, and service by the faculty. This article balances a recognition of the University's unique history, newness, and current situation with a shared desire for continuous improvement. |
| 29 | (b) | Faculty are defined as individuals whose primary responsibilities include teaching, |
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| | | service, and where applicable, research. Faculty included in this contract are designated by ranks of Instructor, Senior Instructor, Assistant Professor, Associate Professor, and Professor. | | | | |
| | (c) | The University and UFF further recognize that reappointment and promotions an important method by which the University recognizes excellence and rewa its employees' contributions to advancing the mission of the University. | | | | |
| | (d) | Reappointment and promotion decisions are not determined by any sole factor and are based upon a careful and rigorous assessment that relies upon faculty and administrative review of: | | | | |
| | | (1) a faculty member's demonstrated teaching, scholarship, research service contributions, and other work duties, as relevant, at the University; | | | | |
| | | (2) the assessment of the faculty member's continued positive contribution to their department and the University, and; | | | | |
| | | (3) the faculty member's potential for continued appropriate contributions and growth. | | | | |
| | (e) | Elements critical to the promotion process include, but may not be limited to: | | | | |
| | | (1) a faculty member's annual performance evaluations; | | | | |
| | | a significant and careful review of credentials by a set of faculty that hold at least the rank sought by the Candidate; | | | | |
| | | an external set of recommendations appropriate for that faculty member (excluding instructors and academic professionals) by subject matter experts in the Candidate's field, and; | | | | |
| | | (4) administrative review. | | | | |
| | (f) | Every Candidate for reappointment and/or promotion will be fairly evaluated, and the evaluation process will be maintained to the highest degree of integrity. | | | | |
| | (g) | Non-reappointments shall not be made in an arbitrary or capricious manner. | | | | |
| 6.2 | Emp | oyment Contract. | | | | |
| | (a) | All appointments will be made on the University employment contract and signed by the President or designee, and the employee. | | | | |
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| 1 2 3 | | (b) | other | | nent or assignment will create any right, interest, or expectancy in any atment or assignment beyond its specific terms, except as provided in ent. |
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| 4 5 | | | (1) | | pecial commitment or conditions offered to new hires shall bind the ersity indefinitely. |
| 6 7 8 | | | (2) | finar | ecial commitment or condition will be observed unless it is no longer icially or logistically feasible or circumstances have changed enough it is no longer in the legitimate interests of the University. |
| 9 10 11 12 | | | (3) | the templ | e university decides not to observe a special commitment or condition university will inform the employee in a timely manner. Upon the loyee's request, the university will provide a written justification of its sion not to observe the special commitment or condition. |
| 13 14 15 | | (c) | contr | act. No | its expire on the date set forth in the faculty member's employment further notice of cessation of employment is required, unless otherwise this Agreement. |
| 16 17 18 | | (d) | will i | nform t | rsity intends to offer a faculty member reappointment, the University the faculty member by August 15 following the academic year in which tent review was conducted. |
| 19 | | (e) | Resig | nation. | |
| 20 21 22 23 | | | (1) | possi | employee who wishes to resign has the professional obligation, when ible, to provide the University with sufficient notice to avoid duling and classroom disruptions or, where the employee does not have structional assignment, a minimum of four (4) weeks' notice. |
| 24 25 | | | (2) | Upor | n resignation, all consideration for reappointment and promotion will e. |
| 26 | 6.3 | <u>Facu</u> | lty Apr | ointm | ent and Reappointment Contract Duration. |
| 27 | | (a) | Conti | ract dur | ration for faculty appointments and reappointments are as follows: |
| 28 | | | (1) | Instr | <u>uctor</u> |
| 29 | | | | a. | Initial Contract duration: two (2) years |
| 30 | | | | b. | Reappointment Contract duration: two (2) years. |
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| | c. | <u>Promotion Contract duration</u> : Faculty promoted from Instructor to Senior Instructor shall receive an initial appointment contract duration of (3) years. |
| (2) | Seni | or Instructor |
| | a. | <u>Initial contract duration</u> : three (3) years |
| | b. | Reappointment contract duration: three (3) years |
| (3) | Assi | stant Professor. |
| | a. | Initial Contract Duration: three (3) years. |
| | b. | Reappointment Contract Duration: three (3) years. |
| | c. | <u>Promotion Contract duration</u> : Faculty members promoted from Assistant to Associate Professor shall receive an appointment Contract duration of six (6) years |
| (4) | Asso | ociate Professor |
| | a. | <u>Initial Contract Duration</u> : three (3) years, unless the University determines that an initial term of four (4) or five (5) years is appropriate or warranted. The reason for a longer initial term shall be provided to the UFF upon request. |
| | b. | Reappointment Contract Duration: |
| | | 1. Three (3) years based upon a Preliminary Review (see Section 6.7(a)(1)). |
| | | 2. Six (6) years based upon a Full Review (see Section 6.7(a)(2)). |
| | c. | <u>Promotion Contract Duration</u> : Individuals promoted from Associate Professor to Professor shall receive an appointment term of six (6) years. |
| (5) | Profe | essor essor |
| | a. | Initial Contract Duration: six (6) years, unless the University determines that it is in its best interest to offer an initial contract with a three (3) to five (5) year duration. |
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| | | | | b. | | pointment Contract Duration: six (6) years when based upon a Review (see Section 6.7(a)(2)). |
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| 6 | 5.4 | Reapi | pointment and Promotion Review Eligibility and Requirements. | | | |
| | | (a) | | ty are ving per | | e for Reappointment and Promotion Reviews during the |
| | | | (1) | Instru | ctor_ | |
| | | | | a. | Instru their | pointment Cycle: two (2) years. Instructors receive an actor's Review during the spring semester of the final year of initial appointment and a biennial instructor's review rring every two years) thereafter. |
| | | | | b. | fall so spring full, | iduals whose employment did not start at the beginning of the emester will have their Instructor's Review delayed to the first g semester following the completion of a minimum of four (4) contiguous fall and spring semesters following their initial nument. |
| | | | (2) | Senio | r Instru | ctors |
| | | | | a. | contra third | <u>socintment Cycle</u> : three (3) years after Promotion or initial act – Instructor's Review during the spring semester of the (3 rd) year after their first promotion period (6 th full semester as ior Instructor). |
| | | | (3) | Assis | tant Pro | <u>fessor</u> |
| | | | | a. | Prelin | ninary Review (see 6.7(a)(1)). |
| | | | | | 1. | Preliminary Review for reappointment begins at the start of the first spring semester following the completion of a minimum five (5) full, contiguous semesters at the current appointed rank. |
| | | | | | 2. | Individuals whose employment did not start at the beginning of the fall semester will have their Preliminary Review delayed to the first spring semester following the completion of a minimum of five (5) full, contiguous semesters following their initial appointment. |
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| | (3) | The University, at its discretion, may provide exceptions to these minimum qualifications upon request of a faculty member and approval of their Chair Director (when a Director is present), and the Provost or designee. | | | | |
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| | | pointment and/or Promotion Materials for Assistant Professors, Associate ssors, and Professors. (Note: Instructors see Section 6.9) | | | | |
| (a) | The c | andidate submits a "dossier" in support of their reappointment or promotion. | | | | |
| (b) | refere or Pr | promotion "packet" is the body of material that includes the dossier, letters of ence if required, any supplemental information considered by the committees ovost and the candidate's response to that supplemental information, and nittee recommendations as specified by the process. | | | | |
| (c) | prepa the C comp receiv | idate Prepared Dossier. A Candidate for reappointment or promotion must re a dossier for consideration, which must include: a personal statement from andidate and elements that show the Candidate's demonstrated abilities and etencies in teaching, service, and research—including: performance reviews red since their last reappointment if applicable (if not, all performance reviews red), faculty activity reports from two (2) prior years of employment at the ersity. | | | | |
| (d) | Facul | ty Dossier contents: | | | | |
| | (1) | The faculty dossier is prepared by the faculty member and, within the boundaries of the specified format, must show the candidate's competencies in teaching, service, and research. | | | | |
| | (2) | Intentional or significant misrepresentations contained in the Candidate's dossier shall serve as just cause for termination pursuant to Article 9 - Discipline. | | | | |
| (e) | Facul | Faculty Dossier format. | | | | |
| | (1) | The Provost shall develop the format and guidelines for the Candidate Prepared Dossier and send them to the Faculty Representative Counci ("FRC") for review and comment by February 15 of the spring semester in even numbered calendar years. | | | | |
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be served at the University.

p.9 Florida Poly/UFF FPU-BOT v4 2021-2024 CBA July 28, 2021 1 The FRC shall review, within fourteen (14) days of receipt, the a. 2 format and guidelines and shall recommend approval or changes to 3 the Provost. 4 b. Should the FRC recommend changes, the Provost shall, within ten 5 (10) days, either accept the changes or give a written justification 6 for not accepting the changes. 7 The Candidate Prepared Dossier must follow the final format as finalized **(2)** 8 by the Provost and faculty are solely responsible for the content within, and 9 production of, the dossier. Review committees may choose to not consider 10 a dossier which does not substantially follow the required format. 11 **(f)** Reference Letters. 12 **(1)** Reference letters are required for Ppromotion Reviews and may be 13 required for Ffull rReviews. 14 Reference letters may be used in a full review if the candidate requests reference letters be used or the Department Chair requests reference letters 15 16 be use. Commented [FPU-BOT1]: The problem with creating a 17 (3)(2) Reference letters are added to the faculty dossier by the provost's office and 18 become a part of the reappointment or promotion packet. 19 (4)(3) Letters are not required for promotion to Senior Instructor. (5)(4) Reference letters are confidential and will be requested using a template that 20 requested for everyone. 21 instructs the external reviewer regarding the materials supplied, and any 22 special considerations, including the University's history, teaching 23 expectations, and research infrastructure. This template will be developed 24 according to and as part of the process laid out in Section 6.6(f)(5)6.6(f)(6). 25 (6)(5) Requesting Reference Letters. 26 A minimum of four (4) reference letters must be requested. a. 27 The Candidate "nominates" up to four (4) individuals to provide b. 28 reference letters. 29 The Department (or Division) Evaluation Panel ("DEP") formally c. 30 requests all external reference letters, which shall include at a For the UFF For the University Alex Landback Myles Kim Chief Negotiator Chief Negotiator Date Date

discretionary process for reference letters is that not every faculty member would have the same basic process for a full review. If it is discretionary, then it may not be equally requested across departments. For it to be fair, we have to be consistent with the procedure. Plus, is there any difference from requiring them? Making it discretionary will certainly lead to the same outcome in which the letters will be

| 1 2 | | | b. Results of the reviews are then provided to the Provost for consideration, except in Sections 6.7(d)(2)d6.7(d)(2)d & | Formatted: Not Highlight | | | | |
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| 3 | | | 6.8(c)(5)a6.8(c)(5)a, in which the results of the reviews are directly | Formatted: Not Highlight | | | | |
| 4 | (b) | Natio | provided to the President for consideration. | | | | | |
| 5 6 | (b) | (1) | e of Review Requirement Individuals that require a Preliminary Review (as specified in Section | | | | | |
| 7 | | (1) | 6.7(a)(1)), due to their appointment expiring in the coming year, will be | | | | | |
| 8 9 | | | notified of the requirement for review no later than December 10, prior to the spring semester in which their review must be completed. | | | | | |
| 10 11 | | (2) | Before the start of the fall semester in the year when an individual must seek reappointment, the University will provide notice to professors who: | | | | | |
| 12 13 | | | a. must apply for promotion from Assistant to Associate Professor to maintain their appointment, or; | | | | | |
| 14 | | | b. must participate in a Full Review to renew their appointment. | | | | | |
| 15 16 17 | | (3) | Notice from the University will provide information relevant to the applicable reappointment/promotion process including instructions and deadlines (the "Notice"). | | | | | |
| 18 19 20 | | (4) | Within fifteen (15) days of receiving such the Notice, eligible professors will inform the University of their election to apply for promotion or proceed with reappointment. | | | | | |
| 21 22 | | (5) | Candidates for reappointment or promotion shall provide the University with their dossier by the deadline set forth in the Notice referenced herein. | | | | | |
| 23 | (c) | Depar | tment Evaluation Panel ("DEP") Membership | | | | | |
| 24 | | (1) | DEP Chair. | | | | | |
| 25 26 27 | | | a. The Division Director, if present, or if there is not a division, the Department Chair serves as the chair of the DEP if the Department Chair holds the appropriate faculty appointment rank. | | | | | |
| 28 29 | | | b. If the Division Director or Department Chair does not hold the appropriate rank, the Provost will appoint a chair for the DEP. | | | | | |
| 30 | | (2) | DEP Membership. | | | | | |
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FPU-BOT v4 2021-2024 CBA July 28, 2021 For reviews of Assistant and Associate Professors, the DEP shall consist of faculty of a higher rank than the Candidate within the 3 Candidate's division, or if no division is present, within the Candidate's department. When there are an insufficient number of faculty to meet the minimum requirements for a DEP, the process 6 below will be used to form a DEP. 7 There must be a minimum of five (5) qualified (appropriately b. 8 ranked) individuals serving on the DEP. 9 If the number of individuals available to serve on the DEP is 10 less than five (5), the Provost and DEP Chair will each select 11 a faculty member or, if necessary, two (2) faculty members of appropriate rank from another unit at the University to 12 13 serve on the DEP. 14 Individuals that are in the terminal year of their employment c. 15 contract (as a result of non-reappointment, resignation, or layoff) or those who received "Needs Improvement" or "Deficient" (or 16 17 "Unsatisfactory") in their most recent performance evaluation are 18 not eligible to serve on the DEP, regardless of any pending 19 grievance to challenge such evaluation (See Section 11.11(a)). 20 d. A faculty member who is a Candidate for Reappointment may serve 21 on the DEP only with the approval of the Provost and DEP Chair. 22 The Provost or designee will request that members chosen for the 23 DEP identify any conflicts of interest that might arise from their service on the DEP. The Provost or designee may excuse panel 24 25 members from the committee or from decisions on particular 26 candidates for conflicts of interest. 27 University Evaluation Committee ("UEC") membership. (d) 28 **(1)** UEC Membership. 29 The UEC is appointed by the Provost from those holding the rank a. 30 "Professor" from a nomination pool provided by the Faculty 31 Representative Council; however, if there are fewer than eight (8) managed appropriately by the University. For the University For the UFF Myles Kim Alex Landback Chief Negotiator Chief Negotiator Date Date

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Commented [FPU-BOT2]: Although it is framed as a discretionary act, this procedure is actually creating a subjective criteria that overrides any objective eligibility for membership on the committee. Inevitably, decisions as to who is on the committee will be subject to challenge later by a candidate who disagrees with the University's assessment of a committee member's perceived conflict of interest. This would remove the University's ability to decide who will staff any reappointment/promotion committee within the parameters defined in this article. Conflicts of interest are naturally going to exist at a small institution, so the consequences of a provision like this will create serious limitations especially since we have agreed to enlarge the size of the DEP/UEC. I couldn't come up with a happy medium here because where is the line drawn? Ultimately, the individuals on the committee are trusted to respect the process for their colleagues and uphold their responsibilities in a professional manner. We recognize the importance of avoiding conflicts of interest and strive to avoid anything that would impugn the credibility of the committee process especially before it even starts. This conflict analysis however must remain an internal function that must be

Florida Poly/UFF p.13 FPU-BOT v4 2021-2024 CBA July 28, 2021 1 qualified individuals at the University, all such qualified individuals shall be deemed in the nomination pool. 2 3 Individuals that are in their terminal year of employment (as 4 a result of non-reappointment, resignation, or layoff), or 5 those who received "Needs Improvement", "Unsatisfactory" 6 or "Deficient" in their most recent performance evaluation, 7 are not eligible to serve on the UEC, regardless of any 8 pending grievance to challenge such evaluation (See Section 9 11.11(a)). 10 2. In years where an individual is up for reappointment review, 11 he or she may not participate in the UEC. 12 Term duration will be staggered to have a "normal" term of three (3) h. 13 years in length and with overlap in UEC membership so that institutional knowledge for the committee is maintained. 14 If fewer than four (4) individuals are eligible to staff the UEC, the 15 c. 16 UEC will be chaired by the Provost with all eligible faculty serving 17 as members. The Provost or designee will request that members chosen for the 18 19 UEC identify any conflicts of interest that might arise from their 20 service on the UEC. The Provost or designee may excuse panel 21 members from the committee or from decisions on particular 22 candidates for conflicts of interest. Commented [FPU-BOT3]: See the comment above. 23 Number of UEC Members. **(2)** 24 If fewer than ten (10) individuals with the rank Professor are on staff a. 25 at the University, the UEC will consist of four (4) to six (6) 26 individuals at the discretion of the University. 27 With ten (10) to twenty (20) Full Professors on staff, the UEC will b. 28 consist of five (5) to seven (7) individuals at the discretion of the 29 University. 30 With more than twenty (20) Full Professors on staff, the UEC will 31 consist of seven (7) members. For the UFF For the University Alex Landback Myles Kim Chief Negotiator Chief Negotiator Date Date

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wouldn't rely on 1 letter that alleged misrepresentations occurred so we'll cut this part altogether. We would need to conduct an investigation into the allegation anyway so all of this extra stuff is unnecessary in my opinion. So my deletion is bringing it back to how it was before.

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| 2 3 4 | | | a. | If the DEP includes no members from the candidate's department the DEP will request input from the department chair before finalizing its recommendation. |
| 5 6 7 8 | | | b. | If the Department chair is the candidate and no member from the chair's department is a member of the DEP, the Provost or designed shall select a member of the chair's department to provide input to the DEP before the DEP finalizes their recommendation. |
| 9 | (b) | DEP : | Review | and Recommendation. |
| 10 11 | | (1) | | University shall provide the Packet (as described in Section 6.6) to the for review. |
| 12 13 14 15 | | (2) | meet the ca | uses that that will include reference letters Full Reviews, the DEP will to select individuals that will receive requests for reference letters for indidate. This meeting should be early in the process to allow time for ceipt of the letters. |
| 16 17 | | (3) | | DEP may acquire or and review supplemental materials and/or other nation as appropriate. |
| 18 19 20 21 | | | a. | If supplemental materials and/or other information is used as part of the review, the Candidate will be informed of the use of this information and provided a minimum of five (5) days, but no longer than ten (10) days, to respond to the information. |
| 22 23 24 25 26 | | (4) | added the Pr areas | reliminary Reviews, the DEP will prepare and provide a report that is to the candidate's packet. The DEP recommendation is presented to covost directly. Within this report, the DEP may choose to highlight of concern and comment on the candidates progression towards on promotion. |
| 27 28 29 | | (5) | | ull Reviews, the DEP will prepare and provide a report that is added candidate's packet. The DEP recommendation is presented to the |
| 30 | | (6) | The D | DEP must operate in executive session and in total confidentiality. |
| 31 | | (7) | All D | EP reports, recommendations, and work product constitute faculty |
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| 1 2 | | | evaluative information and/or limited-access records, pursuant to Florida Polytechnic University Rule 6C13-6.008. | |
| 3 | (c) | UEC | Report and Recommendation. | |
| 4 5 6 7 8 | | (1) | If conducted, the DEP will add its report to the Candidate's packet which includes the dossier, letters of recommendations, a table listing review requests, the DEP report and recommendation, and any supplemental materials and Candidate responses which were used as part of the decision in the review. | |
| 9 10 | | (2) | The UEC will use the candidate's packet to consider the reappointment or promotion request. | |
| 11 12 | | (3) | The UEC may acquire or and review supplemental materials and/or other information as appropriate. | |
| 13 14 15 16 17 | | | a. If supplemental materials and/or other information is used as part of the review at any time during the process, the Candidate will be informed of the use of this information and provided a minimum of five (5) days, but no longer than ten (10) days, to respond to the information. | |
| 18 19 | | (4) | Reference letters are to be used as an input to the overall evaluation by the committee. | |
| 20 21 22 23 24 25 | | | a. A single negative reference may not be the sole basis for not reappointing a candidate or for not promoting a Candidate unless such reference indicates that a Candidate's dossier contains a misrepresentation as provided in Section 6.6(d)(2) and a subsequent investigation has found that a misrepresentation has occurred. The candidate shall have a reasonable opportunity to respond to the | |
| 26 | | | claim. | Commented [FPU-BOT5]: See my comment before. |
| 27 28 | | | b. Promotion and reappointment decisions shall not be based solely on the reference letters received. | |
| 29 30 | | (5) | The UEC will prepare a report and recommendation that is addressed to the Provost. The UEC report is added to the Candidate's packet. | |
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| | a. If the Provost chairs the UEC, the Provost will not make a recommendation, but will supply a candidate's Packet, and both the UEC and DEP reports to the President for a final decision. |
| (6) | All UEC reports, recommendations, and work product constitute faculty evaluative information and/or limited-access records, pursuant to Florida Polytechnic University Rule 6C13-6.008. |
| (d) <u>Prov</u> | ost's Review and Recommendation. |
| (1) | The Provost shall review all procedural and substantive matters for completeness in order to ensure that the DEP and/or UEC have met their responsibilities in this Article. |
| | a. If procedural errors or inconsistencies are present in the process, the Provost will send materials back to the appropriate part of the process for correction before making a recommendation regarding the candidate. |
| | b. In rare instances, the Provost may acquire or and review supplemental materials and/or other information as appropriate for completeness and accuracy of the Candidate's packet. |
| | 1. As with the procedures used by the DEP and the UEC, any supplemental materials and/or other information must be disclosed to the Candidate with the same response rights and times as provided in the DEP and UEC review. |
| | If applicable, the supplemental materials and/or other information and the Candidate's response(s) will be appended to the Candidate's packet. |
| (2) | After a careful review of the reports and recommendations of the DEP and UEC (if applicable), and the Candidate's packet, the Provost, in his or her best judgment, shall make a positive or negative recommendation in writing as to the Candidate's reappointment or promotion. |
| | a. The Provost's recommendation, if positive, is provided to the President consistent with Section 6.8(e). |
| For the University | For the UFF |
| Alex Landback | Myles Kim |
| Chief Negotiator | Chief Negotiator |

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Commented [FPU-BOT6]: If someone wants to preserve their right to grieve the decision, they need to avail themselves of the process we've got here. As Paragraph 3 in this section says, the President reviews appeals for process errors. The problem with placing an arbitrary deadline to proceed with an appeal for a "previously unknown" procedural error is that it effectively eliminates the 10 day deadline to submit the appeal. Even if you capped it at 30 days, what's the point in the 10 day deadline? Currently, if someone waited the full 10 days to appeal, plus 20 days for a decision, then waited the full 30 days deadline to file a Step 2 grievance, that is already 2 months out from the original recommendation from the Provost.

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| | | department, the report documents relied upo | clarifications produced by the Candidate's unit or its and recommendations of the DEP and UEC, any in by the DEP and UEC in creating their reports and candidate's packet, and the written recommendation |
| | (2) | | a strong review of the candidate and, in his or her best |
| | | a. grant reappoint terms of this c | ntment or promotion, via written notice, within the ontract, or; |
| | | b. deny the reque | est for reappointment or promotion. |
| | (3) | | I decision on reappointment or promotion is negative, rovide written justification to the Candidate within the decision. |
| | (4) | (6.8(e)(2)a) and writted or promotion $(6.8(e)(2)a)$ | ten notice of granting reappointment or promotion en justification denying the request for reappointment 3)), constitute faculty evaluative information and/or s, pursuant to Florida Polytechnic University Rule |
| (f) | If a Candidate does not receive a promotion following formal consideration by review, the Candidate may not reapply for promotion until after the completic two (2) additional academic years. This clause shall not unreasonably de Candidate their terminal promotion consideration to Associate Professor. | | |
| (g) | provi the C | ided that the withdrawal | red for promotion may withdraw from consideration is made before the UEC begins its consideration of wal shall be without prejudice and will not render the ext promotional cycle. |
| (h) | Prom | notion Date. | |
| | (1) | Promotions for profes following the decision | ssors that are granted shall be effective on August 15 a date. |
| | (2) | An individual may us | e their new title effective upon written notification of |
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| | | | their | promotion. | | |
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| 6.9 | Reap | pointment and Promotion for Instructors and Senior Instructors. | | | | |
| | (a) | Reappointment & Promotion Materials: | | | | |
| | , , | (1) | | <u>Reappointment Materials</u> : Instructors must submit the following materials to be considered for reappointment: | | |
| | | | a. | Candidate personal statement | | |
| | | | b. | Updated, current curriculum vitae | | |
| | | | c. | Last two (2) faculty activity reports | | |
| | | | d. | Last two (2) reviews from department chair | | |
| | | (2) | subn | notion (Instructor to Senior Instructor) Materials:— Instructors must nit the following materials to be considered for Promotion to Senior auctor: | | |
| | | | a. | Candidate personal statement | | |
| | | | b. | Updated, current curriculum vitae | | |
| | | | c. | Teaching portfolio | | |
| | | (3) | d. | | | |
| | | | e. | | | |
| | | | | ppointment of Senior Instructors: Senior Instructors must submit the twing materials to be considered for reappointment: | | |
| | | | a. | Candidate personal statement to include update of activity since promotion or last reappointment | | |
| | | | b. | Updated Curriculum Vitae | | |
| | | | c. | Last three (3) faculty activity reports | | |
| | | | d. | Last three (3) reviews from department chair | | |
| | (b) | Reap | pointm | ent and Promotion: Types, Notices, and Criteria | | |
| | | (1) | Туре | <u>es</u> : | | |
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| Chief Negotiator | | | | Chief Negotiator | | |
| Date | | | | Date | | |

Myles Kim

Date

Chief Negotiator

Alex Landback

Chief Negotiator

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| 1 2 3 | | | | a. | Both Instructor-DEP and Instructor-UEC reviews for Instructors and Senior Instructors culminate in either a positive or negative recommendation by the Provost as described in Section 6.8(d)(2). | |
| 4 5 | | | | b. | These processes shall be consistent with that outlined for other faculty in Section 6.8(d). | |
| 6 7 8 | | | (6) | prom | dent's Review and Authority. Actions on reappointments and otions by the President and Provost will be acted upon in the same er as described in Section 6.8(e). | |
| 9 | 6.10 | | | | eappointment and Promotion Criteria for Instructors, Assistant | |
| 0 | | Prote | | | te Professors, and Professors. | |
| 1 2 3 | | (a) | which | are es | g of reappointment or promotion shall be based on written criteria, stablished by the University and developed by each department in this Article. | |
| 4 5 6 7 8 9 | | (b) | <u>University Criteria</u> . No later than January 15 of every even-numbered calendar year, the University shall establish the general criteria for the granting of promotion or reappointment, and provide that criteria to each department's Division Director or Chair if no Division Director is present. If a category of reappointment or promotion criteria is not in place for an upcoming review cycle where a candidate may request reappointment or promotion, the criteria will be developed to support that cycle. | | | |
| 11 22 33 44 45 | | | (1) | Promotion and reappointment criteria shall consider the performance of the work that the professor has been assigned (as reflected in FARE forms), criteria for each rank as set forth in the most recent, BOT-approved Faculty Handbook, and the faculty member's responsibilities as a member of the University and department community. | | |
| 6 | | | (2) | The U | University criteria shall broadly recognize and consist of the following: | |
| .7 .8 .9 .0 | | | | a. | Instruction, including regular classroom and laboratory teaching, classroom development, effective development/application of new instructional methods, directing thesis or dissertation committees, and other instructional activities; | |
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| | Date | | | | Date | |

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| | | b. | Research or other creative activities relevant to the department mission, including scholarly publications, support and advising of graduate students; and |
| | | c. | Service to professional societies and contributions to the University and department. |
| | (3) | The c | riteria shall also include, but are not limited to: |
| | | a. | a demonstrated record of scholarly activity, teaching, and as appropriate, course and/or curriculum development commensurate with the University's mission and relevant academic discipline(s); |
| | | b. | evidence of a positive and growing reputation in his/her chosen subfield within the department's mission, and; |
| | | c. | promise of continued successful performance. |
| | (4) | criteri | Provost will formally request input from the FRC as to the University in before providing the finalized University criteria to each tment's Division Director, or Chair if no Division Director is present. |
| (c) | | | <u>Clarifications of University Criteria</u> . The department clarifications, this section shall provide context for the broader University criteria |
| | (1) | be con | nsistent with university requirements and faculty work assignments; |
| | (2) | expec earn i | tailed enough that a reasonable professor should be informed about the stations for performance or accomplishments which are necessary to reappointment or promotion, assuming that the accomplishments are ficient quality, quantity, and consistency, and; |
| | (3) | chara | fy some representative examples of the achievements or performance cteristics which, if the requirement or distinction were met, are priate comparisons for reappointment or promotion. |
| (d) | depar | tment-s | <u>Department-Specific Clarification Review Process.</u> Criteria and pecific clarifications shall be developed and approved on a bi-annual ng to the following procedures: |
| | (1) | Depai | rtment Committee Formation and Membership. |
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| | a. | The C | Committee shall consist of: |
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| | | 1. | the Vice Provost of Academic Affairs (or Provost-designee); |
| | | 2. | Department Chair (or if Division/Department Director is present, Division director, or designee) of each respective department, and; |
| | | 3. | two (2) faculty members from the department, at least one (1) holding the most senior rank in the department, subject to the limitations below in 6.10(d)(1)c). |
| | b. | facult | ars during which the clarifications are reviewed, department by shall select their two (2) representative faculty members g the first week of the fall semester |
| | c. | Exce | otions to Department Committee Membership. |
| | | 1. | The Department Committee requires senior-ranking membership, followed by overall membership. If a department has three (3) or more senior-ranking faculty members, a senior-ranking faculty member that will be reviewed in the next academic year's review cycle may not serve on the Department Committee. |
| | | 2. | Similarly, if a department has more than ten (10) faculty members, a junior-ranking faculty member that will be reviewed for reappointment in the next academic year's review cycle may not serve on the departmental committee. |
| | | 3. | Faculty members that have resigned, received a notice of non-reappointment or notice of layoff, instructors, and visiting/adjunct faculty are not eligible to serve on the Department Committee or participate in the Department Committee selection process. |
| | | | (I) However, Instructors who have served in a full-time capacity for at least the most recent five (5) consecutive years are eligible to serve on or participate in the Department Committee selection process as it relates to the development of |
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| 1 | | | department-specific clarifications for instructors. |
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| 2 | (2) | Deve | lopment of Department-Specific Clarifications. |
| 3 4 5 6 7 8 | | a. | The Provost shall provide a framework for department-specific clarifications and formally charge the Department Committee to develop and maintain written clarifications of the University's reappointment and promotion criteria in terms aligned with the department's discipline(s) and assigned duties, and consistent with University standards as established in the most recent BOT-approved version of the Faculty Handbook. |
|) 1 2 3 | | b. | Upon receiving the University criteria and clarification framework the Department Committee shall convene to develop clarifications of the University criteria consistent with the department disciplines in accordance with the procedures outlined herein. |
| 4 5 5 | | c. | The Department Committee shall complete its review and finalize the department clarifications within fifteen (15) days of receiving the University's criteria. |
| 7 3 9 | (3) | the o | rtment Faculty Vote. Within ten (10) days of the Committee finalizing department clarifications, the department faculty shall conduct a dential and anonymous vote on said clarifications. |
| 0 1 2 3 4 | | а. | Faculty that are in their terminal year of employment (as a result of non-reappointment, resignation, or layoff), visiting/adjunct faculty and instructors are not eligible to vote on the clarifications. Instructors and Senior Instructors, however, are eligible to vote on the department-specific clarifications for instructors. |
| 5 5 7 | | b. | If a majority of a department's faculty vote in favor of the proposed department clarifications, the department clarifications are forwarded to the Provost for review and approval. |
| 3 9 0 | | c. | If the majority of a department's professors do not vote in favor of the proposed department clarifications, the Department Committee, shall reconsider the proposed clarifications prior to conducting a second vote. |
| 2 | | | 1. The Department Committee shall have five (5) days to |
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| 1 2 3 | | | | reconsider the proposed clarifications and conduct a second vote within five (5) days of finalizing the second round of department clarifications. |
| 4 5 6 | | | 2. | If the second vote is also unsuccessful, the proposed clarifications shall be forwarded to the Provost for approval, noting the lack of department faculty support. |
| 7 8 9 10 | | | 3. | If a vote does not occur within five (5) days of the Committee finalizing the department clarifications, the department clarifications shall be forwarded to the Provost for review, noting that no vote occurred. |
| 11 12 13 14 15 | | (4) | the proposed Agreement, | ew. Within ten (10) days of receipt, the Provost shall review department clarifications to ensure compliance with this the mission and goals of the University, and University established in the most recent, BOT-approved version of the lbook. |
| 16 17 | | | | Provost will either approve the proposed department cations, or return them to the Committee for reconsideration. |
| 18 19 20 | | | clarifi | the event the Provost returns the proposed department cations to the Committee for reconsideration, he/she shall de objections to any such provision in writing. |
| 21 22 23 24 25 | | (5) | the Provost's them, shall r incorporating | econsideration. The Department Committee shall reconsider written objections and within ten (10) days after receiving resubmit the proposed written clarifications to the Provost, all, some, or none of the objections, along with a written nd justification for the resubmitted language. |
| 26 27 28 29 | | (6) | reconsider the approvals with | onsideration, Revision and Adoption. The Provost shall ne department clarifications and issue final revisions or that final adoption of the criteria within seven (7) days after revised department clarifications. |
| 30 | (e) | Chang | ges in Criteria f | or Reappointment and Promotion for Professors. |
| 31 32 | | (1) | | ne Provost's approval adoption of the final criteria, the ay modify the approved University criteria for reappointment |
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| | | | and promotion so long as the UFF has been notified of the proposed changes and been offered an opportunity to discuss such changes in consultation with the President or designee. | |
| | | (2) | Changes to discipline-specific departmental clarifications may only be considered if changes to the University criteria have been made as described in $(e)(1)$. Such changes to discipline-specific criteria shall be developed and approved according to the process outlined in $6.10(d)(2)$. | |
| | (f) | on the | ss to Criteria. The Reappointment and Promotion criteria shall be available e Provost's webpage (https://floridapoly.edu/provost/) and/or at the written st of any faculty member. | |
| 6.11 | Non- | Reappo | intment of Faculty | |
| | (a) | contra | ty members on multi-year appointments cannot be terminated during the act period except for just cause, layoff, or termination of the funding source in use of soft money appointments. | |
| | (b) Reappointment or Promotion Review. If a faculty member has participat reappointment or promotion review and is not provided with an or reappointment or promotion, | | | |
| | | (1) | a faculty member with a remaining contract term of more than one (1) year will be provided written notice of non-reappointment, advising that the existing contract will not be renewed with no right to continued employment, or; | |
| | | (2) | a faculty member with a remaining contract term of less than one (1) year will be provided a notice of non-reappointment that the existing contract will not be renewed, and the University shall offer a terminal contract which supersedes the existing contract and expires one (1) year from the date of the written notice, with no right to continued employment. | |
| | (c) | an As | rofessor chooses not to participate in a reappointment review, or in the case of ssistant Professor, chooses not to participate in the promotion process, the ssor will be administratively non-reappointed and the professor's employment end on the last date of the professor's existing contract. | |
| | (d) | | reappointed employees are not eligible to receive any salary increases or ses during the notice period. | |
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| 1 2 | | (e) | | Non-reappointed employees are not eligible to serve on departmental or university committees without prior approval of the Provost. | | | | |
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| 3 | | (f) | Payou | ıt optic | <u>n</u> . | | | |
| 4 5 6 7 | | | (1) | empl all o | e time of or following issuance of a notice of non-reappointment to any oyee, the University may elect in its discretion to pay the employee for a portion of the remaining term of the contract, as may be allowed r Florida law. | | | |
| 8 9 10 11 | | | (2) | with contr | University elects this option, it will pay the employee an amount, less holding, equal to the salary for that portion of the remaining term of the ract which the University is paying out, and the employee's oyment will terminate immediately. | | | |
| 12 | 6.12 | Assis | tant Li | braria | ns and Wellness Counselors. | | | |
| 13 | | (a) | Cont | ract du | ration for appointments and reappointments are as follows: | | | |
| 14 | | | (1) | Assis | stant Librarian I & Wellness Counselor I. | | | |
| 15 | | | | a. | Initial Contract Duration: two (2) years | | | |
| 16 | | | | b. | Reappointment Contract Duration: two (2) years | | | |
| 17 | | | | c. | Reappointment Cycle: two (2) years. | | | |
| 18 19 20 21 22 | | | | | 1. The University will review the Assistant Librarian I and Wellness Counselor I during the second full spring term of employment (or the first spring after four complete terms depending on hire date) and thereafter in the fourth (4 th) semester of each contract cycle. | | | |
| 23 | | | (2) | Assis | stant Librarian II and Wellness Counselor II. | | | |
| 24 | | | | a. | Initial Contract Duration: two (2) years | | | |
| 25 | | | | b. | Reappointment Contract Duration: three (3) years | | | |
| 26 27 28 29 | | | | | 1. The University will review the Assistant Librarian II and Wellness Counselor II during the second full spring term after initial promotion and thereafter in the spring of the third year of the reappointment contract. | | | |
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| | Alex | Landba | ck | | Myles Kim | | | |
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| | Date | | | | Date | | | |

| I | (b) | Reap | <u>pointme</u> | ent Review Requirements & Process |
|----------------|--------------------|--------|----------------|---|
| 2 3 | | (1) | | idate Required Materials: Candidates for reappointment must provide ollowing materials in their reappointment "packet." |
| 4 5 | | | a. | The Employee Self-Review Worksheet provided by Human Resources; |
| 6 | | | b. | The candidate's previous performance reviews; |
| 7 | | | c. | An updated curriculum vitae; |
| 8 9 10 | | | d. | If other materials are used as part of the review, the candidate will be informed of this information, and provided a minimum of five (5) days to respond to the information. |
| 11 | | (2) | Reap | pointment Process. |
| 12 13 | | , , | a. | Dates for reappointment notification and submission of materials to Supervisor will coincide with those for Faculty. |
| 14 | | | b. | Considerations for reappointment include: |
| 15 16 | | | | 1. A review of goals, objectives, and accomplishments achieved over the total review period. |
| 17 18 | | | | 2. Specific goals, tasks, or assignments derived from annual or periodic evaluations. |
| 19 | | | | 3. Candidate's likelihood for continued success. |
| 20 21 22 | | | | 4. Any additional criteria established via the process for University Criteria for Reappointment and Promotion established for faculty positions. |
| 23 24 | | | c. | Decisions must be considered by the supervisor, the Provost, and the Vice Provost of Student Affairs. |
| 25 26 | | | d. | Upon request, the Provost will provide a written justification for the reappointment decision. |
| 27 | (c) | Prom | otion P | rocedure for Assistant Librarians & Wellness Counselors: |
| 28 | | (1) | Empl | oyees designated as Assistant Librarian I and Wellness Counselor I |
| | For the University | ersity | | For the UFF |
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| | Alex Landba | ck | | Myles Kim |
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| | Date | | | Date |
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| | are n | ot obligated to seek promotion, nor will they be penalized for not doing |
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| (2) | Avai | lable Ranks for Promotion |
| | a. | Assistant Librarian I to Assistant Librarian II |
| | b. | Wellness Counselor I to Wellness Counselor II |
| (3) | Eligi | bility for Promotion. |
| | a. | Minimum Duration of Employment: Employees must have served at least 5 successful academic years at their current rank in a full-time capacity, with an overall evaluation rating of "Meets Expectation" for each of those years, in order to qualify for consideration of the next highest rank. |
| | b. | Minimum Requirements: Employees must have been in a position of continued employment and not have been given notice of non-reappointment or termination, and not initially hired at a rank higher. |
| (4) | Pron | notion Review Requirements & Process. |
| | a. | <u>Candidate Required Materials</u> : Candidates for reappointment must provide the following materials in their reappointment "packet." |
| | | A personal statement addressing how the candidate fulfills the responsibilities of the position and advances the mission of the unit and the University. |
| | | 2. The candidate's previous performance reviews (including self-evaluations) and other evaluations since initial hire at the University. |
| | | 3. An updated curriculum vitae. |
| | | 4. If other materials are used as part of the review, the candidate will be informed of this information, and provided a minimum of five (5) days to respond to the information. |
| (5) | Pron | notion Process. |
| | a. | Dates for Promotion notification and submission of materials to Supervisor will coincide with those for Faculty. |
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| Chief Negotiator | | Chief Negotiator |
| Date | | Date |
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| | | b. | Consi | iderations for Promotion include: |
|---------------|-------|-----------------|-------------------------------|--|
| | | | 1. | A review of goals, objectives, and accomplishments achieved over the total review period. |
| | | | 2. | Specific goals, tasks, or assignments derived from annual or periodic evaluations. |
| | | | 3. | Candidate's likelihood for continued success and appropriate contribution on institutional initiatives. |
| | | | 4. | Any additional criteria established via the process for University Criteria for Reappointment and Promotion established for faculty positions. |
| | | c. | Promo | otion Decisions |
| | | | 1. | May include inputs from relevant campus stakeholders, such as students, faculty, and other staff. |
| | | | 2. | Must be considered by the supervisor, the Provost, and the Vice Provost of Student Affairs. |
| | | | 3. | Upon request, the Provost will provide a written justification for the promotion decision. |
| (d) | Non-I | Reappoi | ntment. | • |
| | (1) | contra | act perio | on multi-year appointments cannot be terminated during the od except for just cause, layoff, or termination of the funding case of soft money appointments. |
| | (2) | emplo not pr | oyee has ovided receive | intment at Reappointment or Promotion Review: If are sparticipated in a reappointment or promotion review and is with an offer of reappointment or promotion, that employees a terminal, 6-month contract that supersedes any existing |
| | (3) | | | nted employees are not eligible to receive any salary increases uring the notice period. |
| | (4) | Non-r | eappoir | nted employees are not eligible to serve on departmental or |
| For the Unive | rsity | | | For the UFF |
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| | | ullivel | sity committees without prior approval of the Provost. |
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| | (5) | Payout | t option. |
| | | a. | At the time of or following issuance of a notice of non-reappointment to any employee, the University may elect in its discretion to pay the employee for all or a portion of the remaining term of the terminal contract, as may be allowed under Florida law. |
| | | b. | If the University elects this option, it will pay the employee ar amount, less withholding, equal to the salary for that portion of the remaining term of the contract which the University is paying out and the employee's employment will terminate immediately. |
| <u>Grie</u> | <u>vability</u> | | |
| (a) | | | y's decision to not offer reappointment or promotion to an employed onsidered a disciplinary action. |
| (b) | Articl decisi | e 11 - G on solely | to not offer reappointment or promotion is grievable according to rievance and Arbitration Procedure, as an employee may contest the y because of an alleged violation of a specific term of the Agreement an alleged violation of the employee's constitutional rights. |
| (c) | decisi reapp and r recon that d | on, the ointment ecomme mendati | oses of a grievance about a faculty reappointment or promotion scope of materials relied upon the denial of a candidate's tor promotion is limited to the Candidate's packet, the DEP reported and the UEC report and recommendation, and the Provost's ion. The University may not subsequently introduce new grounds for aring the grievance process nor may it assert that there are other unds for that denial. |
| (d) | | | for any grievance filed under this provision, if successful, shall not ard of reappointment or promotion. |
| (e) | the d | enial of | tes must be filed within thirty (30) days of the Candidate's receipt of promotion or reappointment from the President as described in President's denial of an appeal as described in Section 6.8(d)(2)c.4 |
| (f) | | | ecommendations from the DEP, UEC, and Provost shall be available a proceedings consistent with the requirements described in Florida |
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| Landba | ack | | Myles Kim |
| Negot | iator | | Chief Negotiator |
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| | (a) (b) (c) (d) (e) (f) | Grievability (a) The Ushall shall sh | b. Grievability. (a) The University shall not be compared to the control of the purpodecision, the reappointment and recommendation that denial dusunwritten ground the denial of 6.8(e)(3) or the for arbitration the University |

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Polytechnic University Rule 6C13-6.008.

| For the University | For the UFF |
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| Alex Landback | Myles Kim |
| Chief Negotiator | Chief Negotiator |
| Date | Date |